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*Reprinted from

Global and Planetary Change, 108: 85–99

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Reprint 2013-16

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ARTICLE INFO

Article history:

Received 17 September 2012

Accepted 13 June 2013

Available online 21 June 2013

Keywords:

Evapotranspiration

Mongolian Plateau

Terrestrial Ecosystem Model

MODIS

Climate change

Land cover change

ABSTRACT

Adequate quantification of evapotranspiration (ET) is crucial to assess how climate change and land cover change (LCC) interact with the hydrological cycle of terrestrial ecosystems. The Mongolian Plateau plays a unique role in the global climate system due to its ecological vulnerability, high sensitivity to climate change and disturbances, and limited water resources. Here, we used a version of the Terrestrial Ecosystem Model that has been modified to use Penman–Monteith (PM) based algorithms to calculate ET. Comparison of site-level ET estimates from the modified model with ET measured at eddy covariance (EC) sites showed better agreement than ET estimates from the MODIS ET product, which overestimates ET during the winter months. The modified model was then used to simulate ET during the 21st century under six climate change scenarios by excluding/including climate-induced LCC. We found that regional annual ET varies from 188 to 286 mm yr⁻¹ across all scenarios, and that it increases between 0.11 mm yr⁻² and 0.55 mm yr⁻² during the 21st century. A spatial gradient of ET that increases from the southwest to the northeast is consistent in all scenarios. Regional ET in grasslands, boreal forests and semi-desert/deserts ranges from 242 to 374 mm yr⁻¹, 213 to 278 mm yr⁻¹ and 100 to 199 mm yr⁻¹, respectively; and the degree of the ET increase follows the order of grassland, semi-desert/desert, and boreal forest. Across the plateau, climate-induced LCC does not lead to a substantial change (<5%) in ET relative to a static land cover, suggesting that climate change is more important than LCC in determining regional ET. Furthermore, the differences between precipitation and ET suggest that the available water for human use (water availability) on the plateau will not change significantly during the 21st century. However, more water is available and less area is threatened by water shortage in the Business-As-Usual emission scenarios relative to level-one stabilization emission scenarios.

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1. Introduction

Evapotranspiration (ET) is an essential component of the hydrologic cycle, and is central to earth system science because it governs interactions (e.g., energy exchange and biogeochemical cycling) between the

atmosphere and terrestrial ecosystems (Betts et al., 1996; Mu et al., 2007; Weiß and Menzel, 2008; Dolman and De Jeu, 2010; Wang et al., 2010; Mu et al., 2011; Sun et al., 2011a, 2011b; Katul et al., 2012; Wang and Dickinson, 2012). ET returns more than 60% of annual land precipitation back to the atmosphere (Shiklomanov et al., 1983; L'vovich et al., 1990; Oki and Kanae, 2006; Miralles et al., 2011a), and thereby constrains water availability over the continents. Future trends of ET may lead to an overall intensification or weakening of the water cycle, with implications for the recycling of precipitation and generation

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of runoff (Shukla et al., 1990; Lean et al., 1995). With a growing scientific consensus about global warming (Hansen et al., 2005; Barnett et al., 2005; IPCC, 2007), there is an increasing concern about how climatic change will impact the water supply, especially in arid and semi-arid regions (Vorosmarty et al., 2000; Peterson et al., 2002; Serreze and Barry, 2005; Peterson et al., 2006; IPCC, Intergovernmental Panel on Climate Change, 2007; Bates et al., 2008). These issues are directly relevant to human society and play an important role in the policy-making process related to water management.

Quantitative predictions of regional water balances, management of water resources, irrigation scheduling, or climate and weather prediction, all require the accurate quantification of ET. (Valiantzas, 2006; Cleugh et al., 2007; Jiang et al., 2009; Wang et al., 2010; Mu et al., 2011; Wang and Dickinson, 2012). Simulations from models which have been calibrated and parameterized with measured data (e.g., measurements at EC towers), and satellite-based estimates can then be used for continuous predictions over time and space (Jung et al., 2010; Xiao et al., 2012).

The Mongolian Plateau offers an interesting opportunity to study the state-of-the-art ET dynamics. It is located in a farming–pastoral ecotone adjoining the Gobi desert in the south and the Altai-Sayan Mountains in the north, and is dominated by arid and semi-arid climate (He et al., 2009; Lu et al., 2009; Liu et al., 2011). The plateau covers vast arid and semi-arid area, and is expected to experience earlier and more drastic climate changes (e.g., surface air temperature) compared with lower latitude regions (IPCC (Intergovernmental Panel on Climate Change), 2001; Zhuang et al., 2004; Lu et al., 2009). Additionally, the high vulnerability of the ecosystem makes it especially sensitive to climate change and disturbances (Sun et al., 2002; Sankey et al., 2006; Dulamsuren et al., 2009; Lu et al., 2009; Chen et al., 2012). The plateau is therefore considered to play a critical role in the global climate system (Galloway and Melillo, 1998; Li et al., 2008; Lu et al., 2009). As in other arid and semi-arid regions – where water loss from ET can account for >95% of the annual precipitation (Kurc and Small, 2004; Huxman et al., 2005; Lu et al., 2011; Sun et al., 2011b; Wang et al., 2011; Katul et al., 2012) – ET is crucial in controlling the hydrology, climate and ecology in the plateau. As the climate and land cover of the plateau have been projected to change during the 21st century (Lu et al., 2009; Tchebakova et al., 2009; Groisman et al., 2010), the regional ET could change significantly.

Investigation of future trends in ET under changing climate and climate-induced LCC on the plateau can provide insights into how climate policies might influence future water supplies, and contribute to the understanding of future hydrological, ecological and societal changes in this region and their feedbacks to the global climate system. To date, there is a lack of studies on the quantification of ET and water availability under changing climate and land cover on the Mongolian Plateau. This study is a pioneer effort toward filling that knowledge gap. The first objective of this study is to improve the ET algorithms of a process-based biogeochemistry model – the Terrestrial Ecosystem Model (TEM; Zhuang et al., 2003, 2010). Then, we aim to validate and apply the improved model to examine how ET will respond to the changes of climate and land cover over the plateau during the 21st century. The implications of the results for the water availability in the region will be discussed in detail.

2. Methods

The Terrestrial Ecosystem Model (TEM) is a process-based biogeochemistry model using spatially referenced data of climate, soils, land cover, and elevation to simulate C, N, and water fluxes and pool sizes of the terrestrial biosphere (e.g., Raich et al., 1991; McGuire et al., 1992; Zhuang et al., 2001, 2002, 2003, 2004). In this study, we modified TEM version 5.0 (Zhuang et al., 2003, 2010) to incorporate algorithms based on the Penman–Monteith (PM) equation (Monteith, 1965; Allen et al., 1998) to estimate ET. These modifications allowed better

consideration of interactions between terrestrial energy and water budgets when estimating ET with TEM. To evaluate these model improvements, site-level ET estimates of the TEM, with and without modifications of the ET algorithms, were compared against ET measurements from two eddy covariance sites on the Mongolian Plateau. In addition, regional ET estimates by TEM, with and without modifications of the ET algorithms, were compared to the regional estimates from the three recent studies (Miralles et al., 2011a,b; Mu et al., 2011; Vinukollu et al., 2011). The modified TEM was then used with six climate change scenarios and two land cover scenarios to examine how ET might respond to changes of climate and land cover over the plateau during the 21st century.

2.1. Modeling algorithms

In the previous version of monthly time-step TEM (Zhuang et al., 2003, 2010), the ET algorithms (hereafter referred to as AL1) are based on both the atmospheric demand for water vapor and the ability of the land surface to supply such water vapor (Vörösmarty et al., 1998). In the previous TEM, the atmospheric demand for water vapor is determined from potential evapotranspiration (PET, mm mon⁻¹), which is estimated via the Jensen and Haise (1963) formulation:

$$PET = [(0.014 \times (1.8 \times T + 32) - 0.37)] \times R_s \times 0.016742 \times MD \quad (1)$$

where T is the monthly average air temperature (°C), R_s is the mean monthly short-wave radiation at the top of the canopy (Cal cm⁻² d⁻¹) calculated in TEM using latitude, date and cloudiness (Pan et al., 1996), and MD is the number of days per month. This PET algorithm does not consider the effects of net outgoing long-wave radiation from the land surface, ground heat flux, and the aerodynamic aspects of ET on the atmospheric demand for water vapor. PET calculated in Eq. (1) tends to be underestimated in the spring and overestimated in the summer, as the sensitivity of PET to T is larger, and the sensitivity to R_s is lower than in nature (Feddes and Lenselink, 1994). Biases in the calculation of PET will then be propagated to the ET estimates.

ET is then derived from PET in conjunction with a water balance model (WBM), where the ability of the land surface to supply water for ET depends on the amount of soil moisture (SM), rainfall and snowmelt (Vörösmarty et al., 1998). In the WBM, direct surface runoff is not separated from total runoff from a grid cell, which is assumed to go through soils and contribute to neighboring stream networks. If the sum of monthly rainfall and snowmelt is greater or equal to monthly PET, then ET is assumed to equal PET. If SM reaches field capacity under these conditions, then any additional water is assumed to be runoff to neighboring river networks. If the sum of monthly rainfall and snowmelt is less than the monthly PET, then no runoff is assumed to occur and ET is calculated by subtracting the change in SM during the month from the sum of monthly rainfall and snowmelt. A unitless soil drying function is used to determine the change in SM within a month based on relative soil wetness, PET, rainfall and snowmelt.

To improve ET estimates within the monthly time-step TEM, we introduced new ET algorithms (hereafter referred to as AL2), based on the PM algorithm as applied by Mu et al (2007, 2011) that explicitly incorporates both physiological and aerodynamic constraints (Monteith, 1965; Allen et al., 1998). In these new AL2 algorithms, we calculated ET (mm mon⁻¹) by estimating transpiration of the vegetation canopy (T_c , mm mon⁻¹) separately from evaporation from the soil surface (E_{soil} , mm mon⁻¹):

$$ET = T_c + E_{soil} \quad (2a)$$

$$T_c = \frac{sA_c + \rho_c p (VPD)/r_a}{\lambda(s + \gamma(1 + r_s/r_a))} \times \text{secs2day} \times MD \quad (2b)$$

$$E_{\text{soil}_{\text{pot}}} = \frac{sA_{\text{soil}} + \rho c_p (\text{VPD}) / r_{\text{as}}}{\lambda (s + \gamma (1 + r_{\text{tot}} / r_{\text{as}}))} \times \text{secs2day} \times \text{MD} \quad (2c)$$

$$E_{\text{soil}} = E_{\text{soil}_{\text{pot}}} \times f_{\text{SM}} \quad (2d)$$

$$f_{\text{SM}} = \text{RH}^{\text{VPD}/\beta} \quad (2e)$$

where A_c (W m^{-2}) is the available energy in the vegetation canopy, A_{soil} (W m^{-2}) is the available energy in the soil, s is the slope of the saturation vapor pressure curve (Pa K^{-1}) and is a function of air temperature, ρ (kg m^{-3}) is the air density, c_p ($\text{J kg}^{-1} \text{K}^{-1}$) is the specific heat capacity of air, VPD (Pa) is the vapor pressure deficit (i.e., saturated air vapor pressure minus actual air vapor pressure), r_a (s m^{-1}) is the aerodynamic resistance, r_s (s m^{-1}) is the surface resistance to transpiration from the plant canopy, r_{as} is the aerodynamic resistance at the soil surface, r_{tot} is the sum of r_{as} and surface resistance to evaporation, λ (J kg^{-1}) is the latent heat of vaporization, ρ (kg m^{-3}) is the air density, γ (Pa K^{-1}) is the psychrometric constant, secs2day (s day^{-1}) is the number of seconds in a day, $E_{\text{soil}_{\text{pot}}}$ is the potential evaporation from soils, f_{SM} is a proxy of soil water deficit used to constrain soil evaporation, RH is relative humidity, and β is the relative sensitivity of RH to VPD (Fisher et al., 2008).

As described by Mu et al. (2011), the available energy in the vegetation canopy (A_c) to support transpiration is determined by multiplying the net radiation flux at the surface (R_n , W m^{-2}) by the fraction of ground covered by vegetation (F_c), whereas the available energy in the soil depends on R_n multiplied by the fraction of ground not covered by vegetation ($1 - F_c$) minus the sensible heat exchange from the surface into the soil (G , W m^{-2}). R_n is the balance of incoming and outgoing shortwave and longwave radiation and is calculated from the incoming shortwave radiation (R_s), albedo, and air temperature as described by Cleugh et al. (2007). Mean monthly values for albedo and air temperature are used when calculating R_n .

Based on the protocols of Mu et al. (2007), and the relationships among the Fraction of Absorbed Photosynthetically Active Radiation (FPAR), leaf area index (LAI) and enhanced vegetation index (Turner et al., 2003; Heinsch et al., 2006; Hu et al., 2007; Chen et al., 2009), F_c is calculated as:

$$F_c = \frac{\exp(-\text{LAI}_{\text{min}} \times k) - \exp(-\text{LAI} \times k)}{\exp(-\text{LAI}_{\text{min}} \times k) - \exp(-\text{LAI}_{\text{max}} \times k)} \quad (3)$$

where LAI_{min} and LAI_{max} are the minimum and maximum LAI in a year, respectively, and k is the canopy light extinction coefficient and assumed to be 0.5 (Running and Coughlan, 1988). Monthly LAI is estimated by TEM as follows:

$$\text{LAI} = \text{SLA} \times \text{ALLEAF} \times \text{LEAF} \quad (4)$$

where SLA is the specific leaf area (m^2/gC) (Pierce et al., 1994; Garnier et al., 1997; Poorter and Evans, 1998; White et al., 2000; Milner et al., 2003), ALLEAF is the maximum leaf biomass (g C m^{-2}), and LEAF is a phenology term that represents the relative amount of leaf biomass in a specific month to ALLEAF and is estimated by TEM (Zhuang et al., 2002).

G is estimated based on monthly air temperature (Allen et al., 1998):

$$G = 1.6198(T_i - T_{i-1}) \quad (5)$$

where T_i is the mean air temperature of month i ($^{\circ}\text{C}$) and T_{i-1} is that of the previous month ($^{\circ}\text{C}$).

Soil evaporation is calculated from potential soil evaporation ($E_{\text{soil}_{\text{pot}}}$) and is constrained by a proxy of soil water deficit f_{SM} . The estimate of f_{SM} is based on the complementary relationship of land-atmosphere interactions from VPD and RH, where it is assumed that

SM has a strong link with the adjacent atmospheric moisture (Bouchet, 1963; Mu et al., 2007; Fisher et al., 2008).

The detailed methods for calculating the r_s and r_a of plant transpiration, the r_{tot} and r_{as} of soil surface evaporation are documented in Mu et al. (2007, 2011). r_s in Eq. (2b), is calculated from canopy conductance C_c (the inverse of r_s), which is based on the mean potential stomatal conductance per unit leaf area (C_L), LAI, VPD, and air temperature (Mu et al., 2007). The effects of environmental factors such as VPD and air temperature on the stomatal resistance (converted to r_s using $1/\text{LAI}$ as a scalar), r_{tot} and r_{as} have been taken into account in this study, and the effects of air temperature on r_a have also been considered. In addition, although the effects of SM on r_{tot} and stomatal resistance have not been directly incorporated in their calculation, f_{SM} has been applied as a SM constraint on soil evaporation, and further control is represented as ET estimates are constrained by the soil water balance in TEM. Some other ET methodologies like the one by Miralles et al. (2011a, 2011b) explicitly use SM and vegetation water content observations to estimate evaporative stress. Additionally, many previous studies consider other environmental factors for calculating resistances than those considered in this study, such as net radiation, photosynthetically active radiation flux (PAR), ambient CO_2 concentrations and wind (Jarvis, 1976; Sellers et al., 1986; Ball 1987; Dolman et al., 1991; Xu et al., 1994; Wright et al., 1995; ASCE, American Society of Civil Engineers, 1996; Dickinson et al., 1998; Mueller et al., 2011). Consideration of these factors allows the estimation of resistance to capture more specific effects of biophysical properties on ET, but provide limited benefits to our monthly time-step simulations and also introduce additional uncertainties. Given that r_a and r_{as} are very sensitive to wind speed variation (ASCE, American Society of Civil Engineers, 1996; Liu et al., 2006; Zhang et al., 2007; Bonan, 2008), an average monthly wind will smooth most of the “noise” and short-term variation so that it is likely to fail to capture the effects of wind on r_a and r_{as} . Moreover, the current unavailability of wind speed data in the Integrated Global System Model (ISGM) climate scenarios data (driving data of this study) makes it infeasible to incorporate wind into the parameterizations of r_a and r_{as} . Similarly, the diurnal cycle of stomatal resistance responds to the diurnal variability of R_n and PAR (Landsberg and Gower, 1996), but most of this response would be lost using averaged monthly aggregates.

Finally, after calculating ET with the new AL2 algorithms, the ET estimates were then constrained by the available water in soils as determined by water balance in TEM. Thus, ET estimated using either the AL1 or AL2 algorithms (hereafter referred to as AL1-ET and AL2-ET, respectively) is constrained by the soil water balance, but the AL2 now considers more aspects of the concurrent energy balance and more effects of environmental and biophysical factors on ET than the AL1 of the previous TEM.

2.2. Model parameterization

As described above, here we modified TEM version 5.0 (Zhuang et al., 2003, 2010) by adapting algorithms developed by Mu et al. (2007, 2011) based on the PM equation (Monteith, 1965; Allen et al., 1998) for estimating ET by TEM. Many parameters involved in the new AL2 algorithms were defined from literature values (e.g., Running and Coughlan, 1988; Shuttleworth, 1992; ASCE, American Society of Civil Engineers, 1996; Milner et al., 2003; Cleugh et al., 2007; Mu et al., 2007, 2011), while other parameters such as the relative sensitivity of RH to VPD (β), specific leaf area (SLA), and mean potential stomatal conductance per unit leaf area (C_L) for grasslands and boreal forests were adjusted within the referenced range until model values were closest to field-based measured ET. The major parameters that were introduced into or adjusted in this study are listed in Table 1.

For albedo, we used MODIS albedo (MCD43C3) of year 2005 for the region to determine the mean monthly albedo of each land cover type (Schaaf et al., 2002; Jin et al., 2003a, 2003b; Salomon et al., 2006;

Table 1

Values of major parameters attained through parameterization or estimation from reference. SD: semidesert/desert; WT: wet tundra; BF: boreal forest; TF: temperate forests; GR: grasslands.

Parameter	SD	WT	BF	TF	GR	References
β (hpa)	2.0	2.0	1.50	1.5	2.1	Mu. et al. (2007, 2011) and Fisher et al. (2008)
SLA (m^2/gC)	0.05	0.05	0.02	0.025	0.05	Milner et al. (2003), White et al. (2000), Poorter and Evans (1998) and Garnier et al. (1997)
C_L (m/s)	0.005	0.004	0.0032	0.003	0.005	Mu. et al. (2007, 2011) and Cleugh et al. (2007)

<http://www-modis.bu.edu/brdf/userguide/cmgnbar.html>), which was assumed to remain unchanged during 1971–2100 due to unavailability of time series albedo data. Then the monthly albedo of each land cover was used as land cover-specific parameters to estimate monthly R_n .

2.3. Data

Overall, TEM needs input data on air temperature, precipitation, cloudiness, vapor pressure, atmospheric CO_2 concentrations, land cover type, albedo, elevation, and soil texture to estimate ET. As indicated earlier, TEM can use cloudiness data to estimate incoming shortwave radiation. Soil texture and elevation vary spatially over the study regions and are assumed to remain static throughout 1971–2100, whereas other inputs vary over time and space. In this study, we conducted our simulations at a spatial resolution of 0.5° latitude \times 0.5° longitude resolution so that all spatially-explicit input data sets were reorganized to this resolution.

2.3.1. Historical data

Data on soil texture, elevation, and historical climate and atmospheric CO_2 concentrations from 1971 to 2000 were collected from several sources. The soil texture data were from the Food and Agriculture

Organization/Civil Service Reform Committee (FAO/CSRC) digitization of the FAO–UNESCO (1971) soil map (Zobler, 1986). Elevation data were from the Shuttle Radar Topography Mission (Farr, 2007). Gridded historical data on monthly air temperature, precipitation, cloudiness, and vapor pressure were obtained from the Climate Research Unit (CRU) of the University of East Anglia. Annual global atmospheric CO_2 concentration data for the historical period were acquired from atmospheric observations (Keeling and Whorf, 2005).

2.3.2. Future scenarios data

Modeling estimates of changes in air temperature, precipitation, cloudiness, vapor pressure, and atmospheric CO_2 concentrations associated with six climate scenarios (X901M, X902L, X903H, X904M, X905L, and X906H; Sokolov et al., 2005; Zhu et al., 2011; Jiang et al., 2012) generated by the IGSM of the Massachusetts Institute of Technology (MIT) (Sokolov et al., 2005) were used for future projections over the 21st century. The X901M, X902L, and X903H scenarios represent Business-As-Usual (BAU) emission scenarios with a median climate response, a low climate response, and a high climate response, respectively. The X904M, X905L, and X906H scenarios represent level-one stabilization emission (450 ppm CO_2) scenarios with a median climate response, a low climate response, and a high climate response, respectively. The largest increases in air temperature and precipitation occur in the X903H scenario and the smallest increases occur in the X905L scenario on the plateau (Fig. 1).

Each of the six climate scenarios was used to drive a biogeography model, Siberian BioClimatic Model (SiBCLiM, Tchepakova et al., 2009, 2011), to simulate land cover distribution for the years 2000, 2025, 2050, 2075 and 2100. Other than climate change, the SiBCLiM simulations did not consider the influence of any anthropogenic activities on land cover distribution. Land cover simulations of 2000 are used to represent a static land cover throughout 1971–2100 (referred to as VEG1). To examine the effects of climate-induced LCC, the simulated land cover was used to develop a time series of annual land cover over five time periods from 2001 to 2100. The SiBCLiM results for 2000, 2025, 2050, 2075 and 2100 were used to describe land cover during 2000–2024, 2025–2049, 2050–2074, 2075–2099, and 2100,

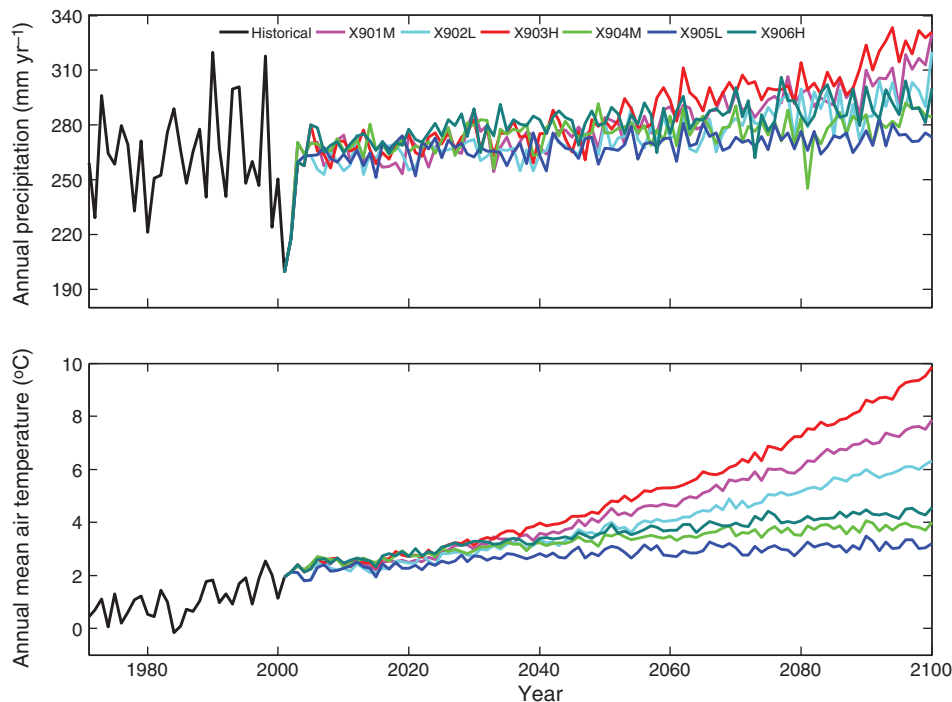


Fig. 1. Climate variation of the Mongolia plateau during the period of 1971–2100 in X901M, X902L, X903H, X904M, X905L, and X906H: (a) annual precipitation, and (b) annual mean air temperature.

respectively (referred to as VEG2). The annual land cover distribution in VEG2 is assumed to remain unchanged from year to year throughout each period but varies among the five periods (Fig. 2).

2.3.3. Data for model evaluation

Data were obtained to evaluate the performance of the modifications to TEM at both the site and regional levels. In addition to latent heat fluxes, EC data of air temperature, precipitation, RH, and incoming shortwave radiation were collected from two Mongolian sites (<http://www.asianflux.com>) to allow the development of site level ET estimates by TEM: a larch forest site at Southern Khentei Taiga (SKT) and a grassland site at Kherlenbayan Ulaan (KBU). The half-hourly data at SKT and KBU are available from 2003 to 2006, and 2003 to 2009, respectively. An Artificial Neural Network method (Papale and Valentini, 2003) was used to fill any gaps in the data caused by system failure or data rejection. We then averaged half-hourly air temperature, RH, latent heat flux, and solar radiation, and summed the half-hourly precipitation to get monthly values.

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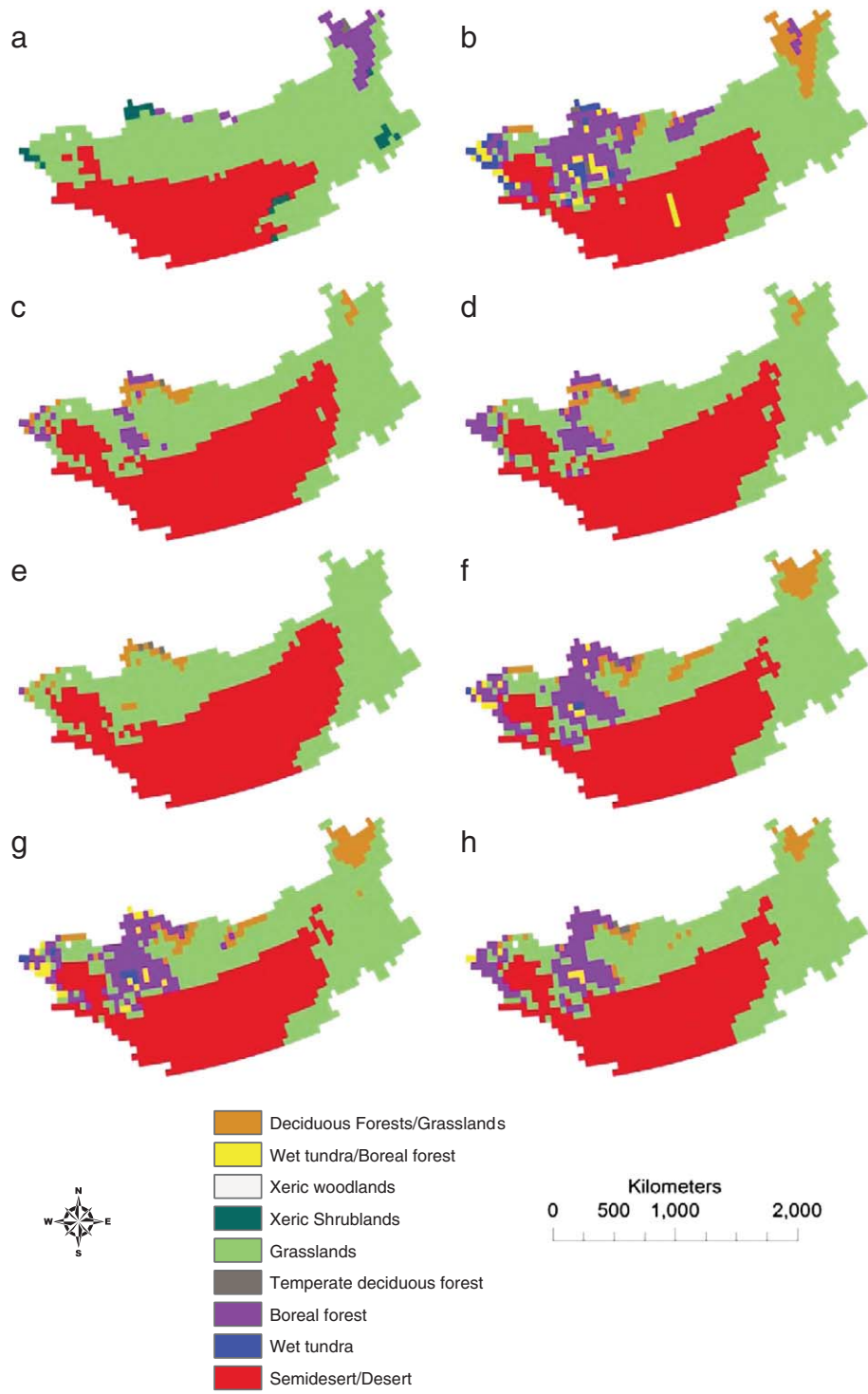


Fig. 2. Land cover of Mongolia plateau from (a) MODIS land cover data of 2001, and from SibClim simulation of (b) 2000, (c) 2100 in X901M, (d) 2100 in X902L, (e) 2100 in X903H, (f) 2100 in X904M, (g) 2100 in X905L, and (h) 2100 in X906H.

Monthly ET estimates from MODIS (referred to as MODIS-ET, [Mu et al., 2011](#)) and from GLEAM (Global Land-surface Evaporation: the Amsterdam Methodology – [Miralles et al., 2011a, b](#)) were also collected and compared to site-level ET estimates by TEM. Although AL2-ET and MODIS-ET estimates are both based on PM framework, they are different in LAI acquisition, calculation of F_c and G , parameterization of C_L , β and SLA, forcing data, and whether is constrained by available soil water.

For evaluation of spatial and temporal patterns of ET estimated by TEM across the plateau, AL2-ET results were also compared to gridded estimates selected out of global results by [Vinukollu et al. \(2011\)](#) in addition to MODIS-ET and GLEAM for the time period 2000 to 2008. [Vinukollu et al. \(2011\)](#) also used a PM based approach extended by [Mu et al. \(2007\)](#) to estimate ET (known as PM-Mu ET) whereas GLEAM is based on a Priestley–Taylor approach with an evaporative constraint base on satellite-derived soil moisture and vegetation water content ([Miralles et al., 2011a,b](#)). For these regional evaluations, TEM was driven with monthly air temperature, precipitation, dew point temperature (used to derive vapor pressure), and cloudiness data over the 2000–2008 time period obtained from the European Centre for Medium-Range Weather Forecasts (ECMWF). MODIS land cover data of 2001 were used (MCD12C1), with an assumption that the land cover of the plateau during 2000–2008 was the same as 2001.

2.4. Regional simulations

ET simulations were conducted for both static and changing land cover conditions. The model was first run to equilibrium using the long-term averaged historical monthly climate data from 1971 to 2000, and was then spun up for 120 years to develop initial conditions for TEM at the beginning of the 21st century for the plateau. Then, we conducted twelve simulations using the AL2 algorithms to examine the effects of future climate change and LCC on ET. To examine the effects of climate change alone on ET, we conducted six simulations using the VEG1 land cover, historical climate from 1971 to 2000, and each of the six climate scenarios from 2001 to 2100. To examine the effects of both climate change and climate-induced LCC on ET during the 21st century, we conducted six simulations using the VEG2 land cover, historical climate from 1971 to 2000, and each of the six climate scenarios from 2001 to 2100. Differences in ET between the VEG1 and VEG2 simulations for corresponding climate scenarios were used to estimate the effects of climate-induced LCC on ET.

2.5. Statistical analysis

Root mean square error (RMSE), Nash–Sutcliffe model efficiency coefficient (NS) and mean percentage error (MPE) were used to evaluate the accuracy of ET estimates. The smaller values of RMSE and MPE, and NS close to 1 (range from $-\infty$ to 1) indicate more accurate model estimates. The non-parametric Mann–Kendall test for trends was applied to determine significant time-series trends ([Hamed and Rao, 1998](#)).

3. Results and discussion

3.1. Evaluation of site-level ET estimates

Comparisons of site-level AL1-ET and AL2-ET estimates with measured ET at the two EC sites ([Table 2](#), [Fig. 3](#)) indicate that the AL2 greatly outperforms AL1. The MPE of 5.94% and 6.42% for annual AL2-ET, at the SKT and KBU sites, respectively, suggests that the AL2-ET generally agrees well with measured ET at the site level. The seasonal changes of AL2-ET, GLEAM and MODIS-ET match well with the measured ET at both sites, except from November to March ([Fig. 3](#)). During the winter months, the AL2-ET and GLEAM estimates are very close to the measured ET whereas MODIS-ET estimates are much higher. The overestimation of ET by MODIS-ET during the winter is probably due to the increased uncertainties of driving data, e.g., land cover, albedo, LAI and reanalysis climate data ([Strahler et al., 2002](#); [Jin et al., 2002, 2003b](#); [Wang et al., 2004, 2005](#); [Gao et al., 2005](#); [Heinsch et al., 2006](#); [Zhao et al., 2006](#); [Sprintsin et al., 2009](#); [Decker et al., 2011](#)). In contrast, AL1-ET tends to overestimate ET during the summer, which is likely due to the overestimation of PET in the summer by the AL1 ([Feddes and Lenselink, 1994](#)) and the bias is passed on to the AL1-ET.

3.2. Evaluation of regional ET estimates

Regional AL2-ET (regional ET was determined by summing area-weighted ET estimates across all grid cells within the study region) matches well with regional MODIS-ET and GLEAM during the growing season, and performs better than regional AL1-ET ([Fig. 4](#)). The regional AL1-ET is much higher during the growing season, especially in July (18.6–41.5% higher), which is probably due to the overestimation of ET by AL1-ET in the summer as shown in the site-level analyses ([Section 3.1](#)). In contrast, GLEAM during the growing season is similar to AL2-ET and MODIS-ET. The regional AL2-ET is lower than the regional MODIS-ET in the non-growing season ([Fig. 4](#)), which is likely due to the overestimation of MODIS-ET during this time as indicated in the site-level analyses. Overall, GLEAM reports an average annual ET of $210.07 \text{ mm yr}^{-1}$ in the region during 2000–2008, which compares well to the 223.6 mm yr^{-1} by AL2-ET. Estimates by MODIS-ET ($282.86 \text{ mm yr}^{-1}$) and AL1-ET ($305.56 \text{ mm yr}^{-1}$) are much higher, which are likely due to their overestimation of ET in the winter and summer, respectively.

Across the plateau, the average annual AL1-ET and AL2-ET estimates over the 2000–2008 time period, show an increasing gradient from southwest to northeast that compares well with patterns observed by GLEAM and MODIS-ET ([Fig. 5](#)). At the same time, the AL1-ET of the eastern and northern parts of the plateau is obviously higher than that of MODIS-ET and GLEAM, likely because the AL1-ET is overestimated during the summer ([Feddes and Lenselink, 1994](#)) and the errors are unevenly distributed. The overall magnitude of MODIS-ET, however, is higher than that of AL1-ET and AL2-ET and the gradient of MODIS-ET across the plateau is not as strong as in the AL1-ET and AL2-ET estimates. This might be partially caused by the higher ET estimates during the winter when the monthly MODIS-ET estimates are 8–20 mm higher than those of AL1-ET and AL2-ET, and the differences are not evenly

Table 2
Comparison of accuracies among AL1-ET, AL2-ET, MODIS-ET and GLEAM estimates at the EC sites of SKT and KBU, a and b under each ET estimate category stand for whole measurement period and growing seasons, respectively. Low values of RMSE and higher values of NS indicate better accuracy.

	SKT								KBU							
	AL1-ET		AL2-ET		MODIS-ET		GLEAM		AL1-ET		AL2-ET		MODIS-ET		GLEAM	
	a	b	a	b	a	b	a	b	a	b	a	b	a	b	a	b
RMSE (mm mon^{-1})	10.99	14.05	6.30	7.67	10.65	8.16	9.27	10.93	11.86	15.56	6.70	7.58	9.04	7.84	7.14	9.17
NS	0.71	0.51	0.91	0.89	0.73	0.82	0.76	0.62	0.42	0.13	0.82	0.76	0.62	0.73	0.78	0.68

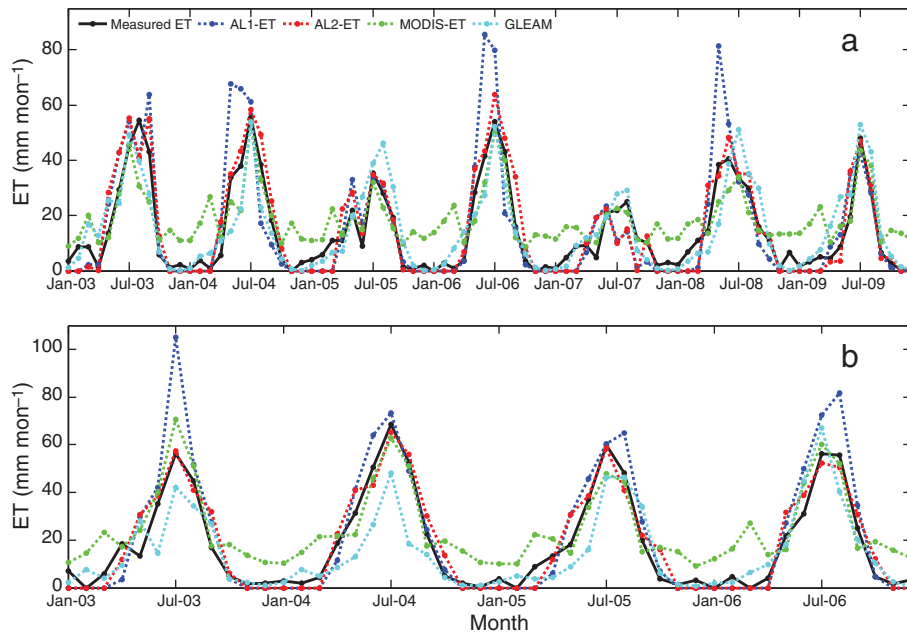


Fig. 3. Comparison between measured ET, AL1-ET, AL2-ET, MODIS-ET and GLEAM at: (a) KBU site, and (b) SKT site.

distributed across the plateau. Spatially, there are small differences between whole-year ET and growing season ET for AL1-ET and AL2-ET because their ET amounts in the winter are very small. However, there are notable differences when it comes to MODIS-ET because MODIS-ET in winter tends to be higher, accounting for 24.6% of the average annual ET over 2000–2008. In contrast, the PM-Mu ET estimates of Vinukollu et al. (2011) are much lower than the other ET estimates (Fig. 5), which is similar with the evaluation in the Vinukollu et al. (2011) that PM-Mu ET estimates have sizeable negative bias (-132 mm yr^{-1} over 26 basins across continents), large discrepancy with observed data, and large RMSE. Although PM-Mu ET estimates by Vinukollu et al. (2011) and MODIS-ET are both based on PM framework, the parameterization and forcing data are different (Mu et al., 2007; Vinukollu et al., 2011). In addition, the former was based on the methods in Mu et al. (2007), whereas the latter was based on the methods in Mu et al. (2011) which further improved those of 2007. Moreover, the aerodynamic resistance in PM-Mu is calculated from Surface Energy Balance System (SEBS) model instead using the methods

described in Mu et al. (2007) (Vinukollu et al., 2011). These differences may explain why PM-Mu ET estimates of Vinukollu et al. (2011) are much lower than MODIS-ET.

Due to the significant improvements demonstrated by the AL2 algorithms over the AL1 algorithms at both the site and regional levels, the AL2 algorithms were used in the TEM simulations to examine how climate change and LCC might influence ET in the future.

3.3. Climate change effects on ET

ET ranges from 188 mm yr^{-1} to 286 mm yr^{-1} across all scenarios using the VEG1 land cover during the 21st century, but increases in ET differ in magnitude among the six climate scenarios (Fig. 6, Table 3). The largest ET increases (0.55 mm yr^{-2}) occurred in the X903H scenario and the smallest ET increases (0.11 mm yr^{-2}) occurred in the X905L scenario. Larger increases in ET occurred in the scenarios based on the BAU emission policy (X903H, X901M, X902L) than in the emission stabilization policy (X906H, X904M, X905L). Within an

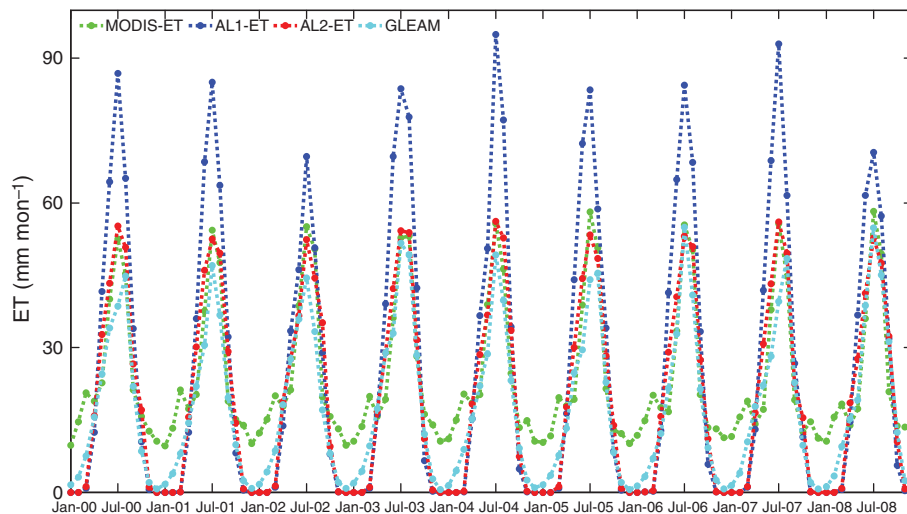


Fig. 4. Comparison among regional MODIS-ET, AL1-ET, AL2-ET and GLEAM during 2000–2008.

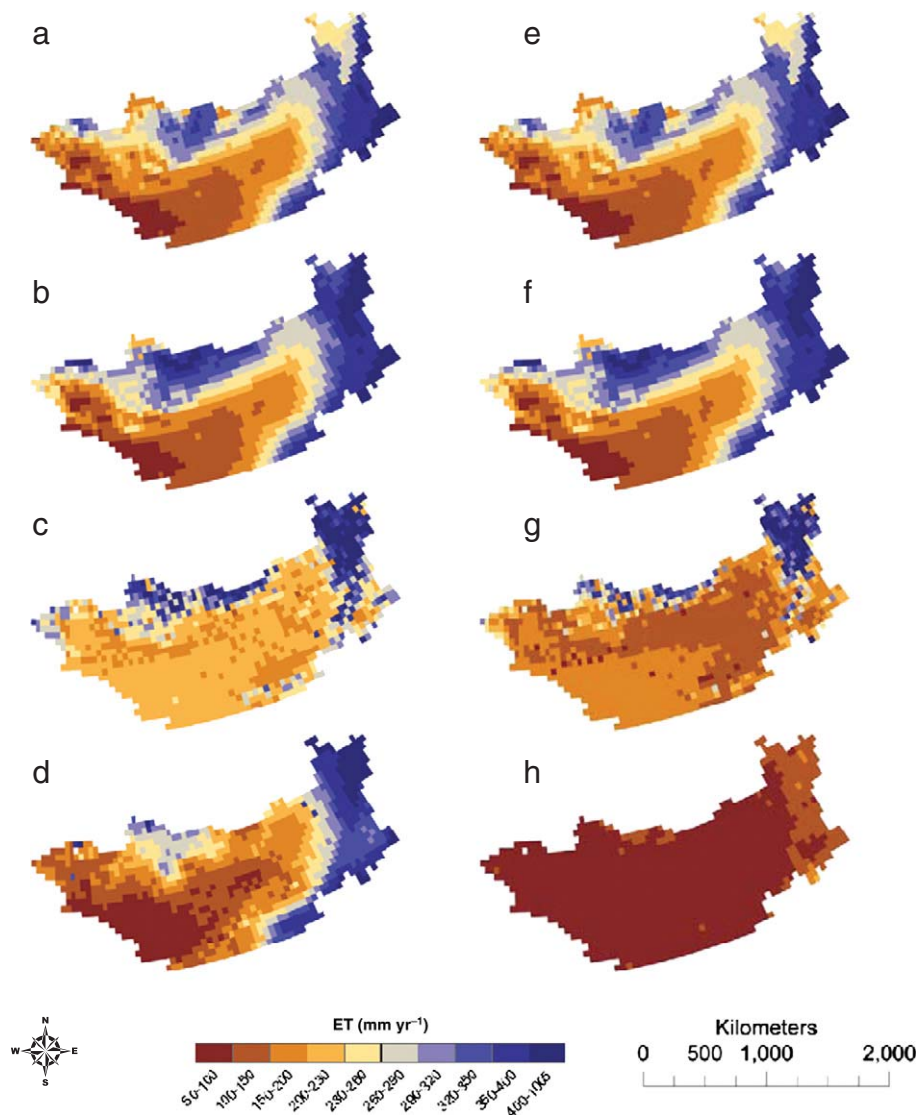


Fig. 5. Spatial pattern of average annual ET over 2000–2008 derived from (a) AL2, (b) AL1, (c) MODIS, and (d) GLEAM, and average growing season ET over 2000–2008 derived from (e) AL2, (f) AL1, (g) MODIS, and (h) average annual PM-Mu ET over 2000–2007 in Vinukollu et al. (2011).

emission policy, higher ET and larger increases in ET occurred in the climate scenarios that had a higher climate response than a lower climate response (X903H > X901M > X902L > X906H > X904M > X905L). Moreover, the order of ET estimates among the climate scenarios is also consistent with the projected increases in air temperature and precipitation among the climate scenarios (Table 3, Fig. 1). Higher precipitation would increase the supply of water for ET whereas higher air temperatures would increase atmospheric demand for water vapor by increasing the vapor pressure deficit (Anderson, 1936). Thus, our results indicate that the water cycling on the plateau will intensify in the future, but the level of this intensification will depend on the climate policy being implemented and the response of climate to greenhouse gas forcings.

The southwest to northeast gradient in ET is projected to continue to exist in the future in all six scenarios following a similar gradient in precipitation (Fig. 7). However, the spatial patterns of changes in AL2-ET vary among the climate scenarios. In the BAU emission scenarios, AL2-ET increases almost over the entire region (Fig. 8). In contrast, AL2-ET decreases in some regions of the plateau and increases in other regions in the level-one stabilization emission scenarios. Furthermore, the southwestern region, where the land cover is predominantly

semi-desert/desert (Fig. 2), has the smallest increases in AL2-ET from the 1990s to 2090s in all scenarios.

Land cover influences both the rate of ET and the relative importance of ET to water cycle, which may be represented as a ratio of ET to precipitation (ET/P). Grasslands, boreal forests and semi-desert/deserts account for 90% of the vegetated area in the region. For all scenarios, the regional grassland ET ranges from 242 to 374 mm yr⁻¹ (Table 4 and Fig. 9), with an average ET/P of 90%, which coincides with that at the KBU grassland site. Regional boreal forest ET ranges from 213 to 278 mm yr⁻¹, with an average ET/P of 72%, which falls in the range of ratio at the boreal forest site SKT (70%–89%). The semi-desert/desert ET varies from 100 to 199 mm yr⁻¹ and accounts for 95–100% of the precipitation, which is consistent with previous studies in arid areas (Kurc and Small, 2004; Sun et al., 2011a; Katul et al., 2012; Wang and Dickinson, 2012), and the average regional semidesert/desert ET ~145 mm yr⁻¹ during 21st century for all scenarios is comparable to the current average estimates for these ecosystems (Jiménez et al., 2011; Miralles et al., 2011a). The simulated ET differences for various land cover types are mainly due to the climate variations across different land covers, e.g., the precipitation and air temperature in the grassland being higher than those of the boreal

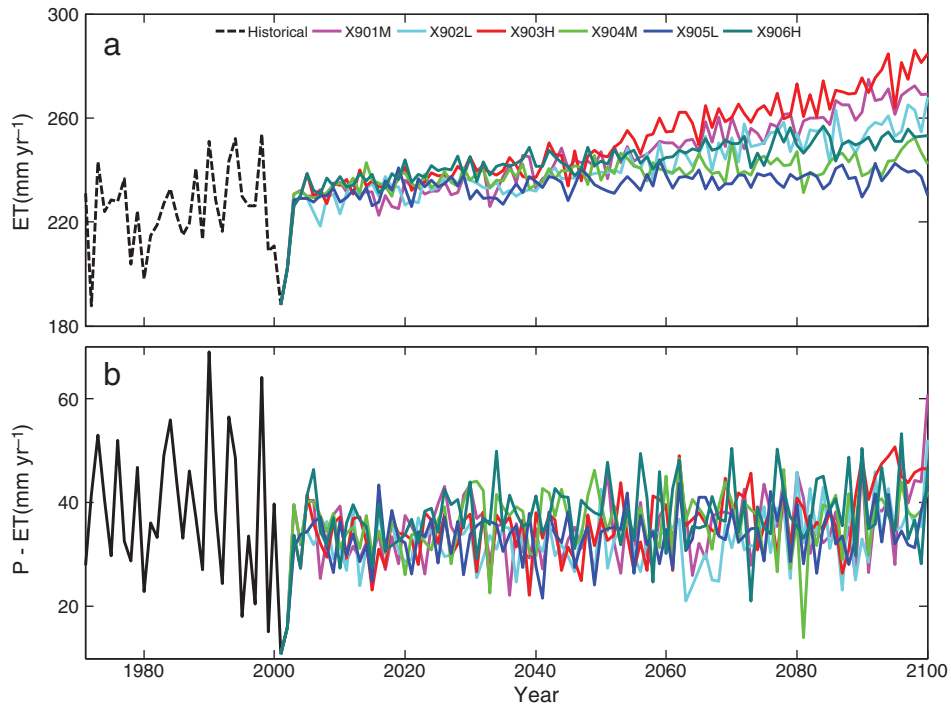


Fig. 6. ET and P-ET variations over 1971–2100 under VEG1 in X901M, X902L, X903H, X904M, X905L, and X906H: (a) annual regional AL2-ET, and (b) annual regional P-ET.

forest, or precipitation in the semidesert/desert being lower than that of the grassland or boreal forest. In particular, in the X903H scenario, precipitation during the growing season in grasslands is 23 mm higher than that of the boreal forest, 190 mm higher than that of the semidesert/desert, and the mean air temperature during the growing season in grasslands is 5.34 °C higher than that of the boreal forest.

3.4. Land cover effects on ET

The regional AL2-ET estimates using the VEG2 land cover were slightly higher than the estimates using the VEG1 land cover in all six scenarios (Fig. 10). The differences in ET between the two land cover scenarios are more apparent in the BAU emission scenarios than in the level-one stabilization emission scenarios, but these differences are <5% of the AL2-ET estimates using the VEG1 land cover. Likewise, LCC appeared to have subtle effects on the spatial distribution of AL2-ET (Figs. 7–8). Thus, the direct effects of climate change on ET appeared to be more important than the indirect effects of climate-induced LCC on ET.

There are two possible reasons for the inconspicuous effects of LCC on ET. First, the positive response of ET to LCC in some areas is offset by the negative response in other areas and, as a result, the change of aggregated ET over the whole plateau is small. The positive effect

includes the change from forest to grassland, and the negative effect is due to the change from forest and grassland to semidesert/desert and from grassland to forest. For example, in the X903H scenario with the largest LCC among the six scenarios, the boreal forests and temperate forests will largely become grasslands and the semidesert/desert coverage will expand from 34.5% to 50% of the plateau by the end of the 21st century, specifically, 20.1% of the vegetated area will change from forests to grassland and 14.5% will change from grassland to semidesert/desert. Furthermore, the change area in all scenarios accounts for only 9–36% of the total area (Fig. 2). These relatively small changes in land cover appeared to have subtle effects on the spatial distribution of ET and regional ET estimates.

3.5. Implications of projected ET trends on the water availability

It is becoming recognized that water availability is a crucial limiting natural resource for human development, especially in arid and semiarid areas (Vorosmarty et al., 2000; Fekete et al., 2004). Our simulation results indicate that annual mean volumetric soil moisture varies very slightly from year to year, with trends ranging from –0.0069% to –0.021% yr⁻¹ in all scenarios. Because the variation of soil moisture is negligible over the long run, net precipitation, the difference between precipitation and ET (hereafter P-ET), can approximately represent water availability, in other words, how much water may be available for human use.

Regional P-ET fluctuates without a significant trend in all the scenarios using the VEG1 land cover during the 21st century (Fig. 6). In addition, changes in P-ET from the 1990s to 2090s ($\Delta(P-ET)$) are insignificant (± 20 mm) across most of the plateau (Fig. 8). These results imply that without considering further anthropogenic impacts, projected climate change itself will not significantly increase threats to the water availability as increasing ET rates are being compensated by increasing precipitation rates. However, the threats to the water availability differ from scenario to scenario due to inconsistent trends between ET and precipitation. With the VEG1 land cover, P-ET will increase from the 1990s to 2090s across a large portion of the plateau (Fig. 8) with larger changes in the BAU scenarios (X901M: 10.4 mm

Table 3
Range (mm yr⁻¹) and increasing trends (mm yr⁻²) of regional annual AL2-ET, AL1-ET, and precipitation of Mongolia in the 21st century in X901M, X902L, X903H, X904M, X905L, and X906H under VEG1.

	AL2-ET		Precipitation	
	Range	Trend	Range	Trend
X901M	188–275	0.47	199–330	0.38
X902L	188–268	0.38	199–320	0.28
X903H	188–286	0.55	199–334	0.48
X904M	188–252	0.17	199–292	0.18
X905L	188–244	0.11	199–284	0.12
X906H	188–257	0.25	199–306	0.28

Note: for all the trend analyses, P < 0.001.

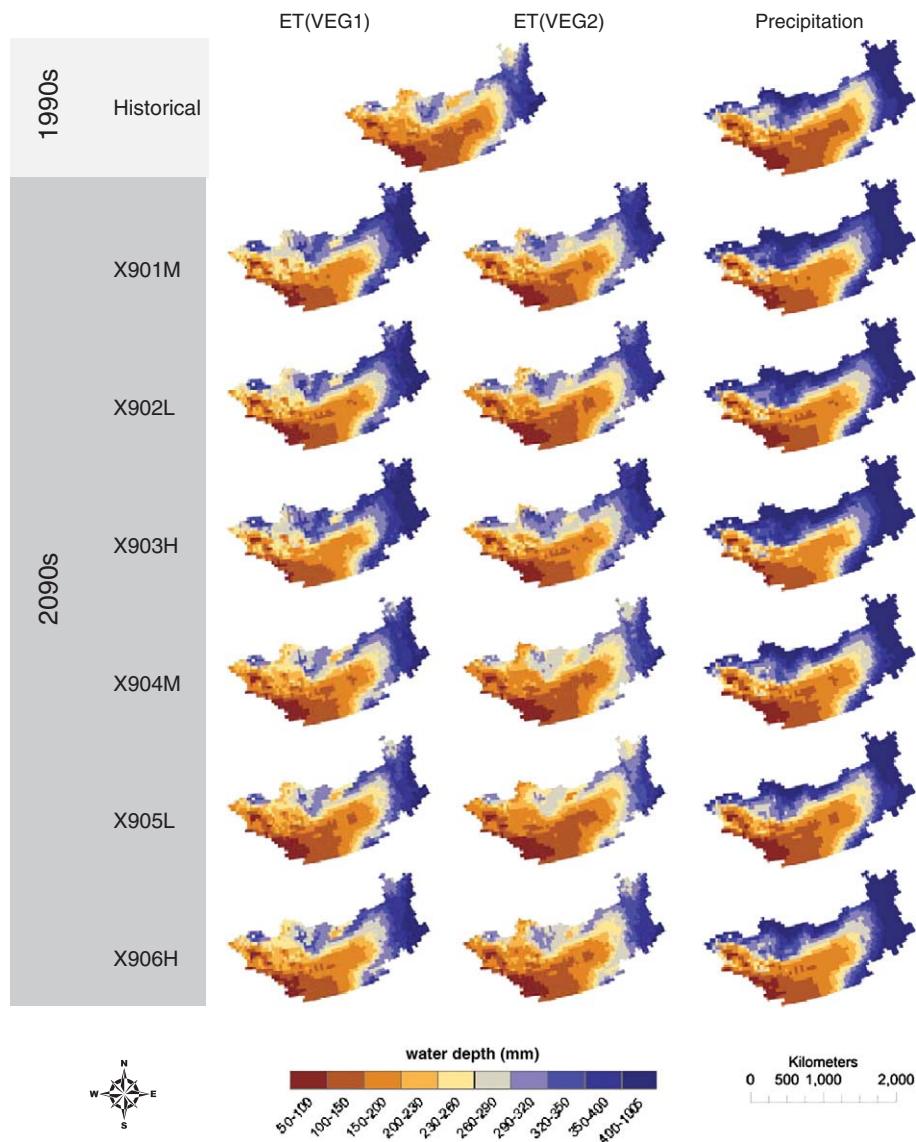


Fig. 7. Spatial patterns of average annual AL2-ET (under VEG1 and VEG2) and precipitation over the 1990s and the 2090s in X901M, X902L, X903H, X904M, X905L, and X906H.

or +58.3%; X902L: 2.4 mm or +52.8%; X903H: 5.4 mm or +56.9%) than the stabilized emission scenarios (X904M: 2.5 mm or +49.6%; X905L: 0.4 mm or +48.9%; X906H: 2.8 mm or +51.5%). These results suggest that, as a whole, the BAU emission scenarios tend to have slightly more available water for human use and less area will be threatened by water shortage relative to the level one stabilization emission scenarios.

With climate-induced LCC, fewer areas are likely to suffer from water shortage, although the increase in average P-ET from the 1990s to the 2090s using the VEG2 is slightly less than that using the VEG1 (Fig. 8). This discrepancy is likely due to the uneven effects of LCC across the plateau. At the same time, one common feature across all the scenarios is that future water supplies tend to be threatened in the northeastern part of the plateau where boreal forests are currently located.

3.6. Model limitations and simulation uncertainties

ET estimation is challenging because it involves a large number of biophysical factors such as landscape heterogeneity, plant biophysics for specific species, leaf angle, canopy structure, soil properties, soil moisture, and microclimate (ASCE, American Society of Civil Engineers, 1996; Wang et al., 2010; Mu et al., 2011). The algorithms

used in this study do not account for biodiversity effects, as different species within a single plant functional type can have different ET rates that also vary with stand age. Uncertainties also exist in a number of the biophysical parameters in the AL2 algorithms, and all parameters are assumed to have the same value for a given biome type across the entire plateau. Moreover, the algorithms for soil evaporation are based on an assumption that SM has a strong link with the adjacent atmospheric moisture during an entire month, whereas the strongest link occurs at midday during convective conditions (Fisher et al., 2008). The application of the model at a monthly step might then contribute to ET errors. Another limitation of the algorithms is that the effect of high- CO_2 -induced partial stomatal closure on stomatal resistance (Jarvis, 1976; Gedney et al., 2006; Lammertsma et al., 2011; Miglietta et al., 2011) has not been taken into account. Although the influence of available water on stomatal closure has been considered in the simulation of atmospheric CO_2 fertilization effects on gross primary production (Raich et al., 1991; McGuire et al., 1997; Zhuang et al., 2003), the corresponding influence of CO_2 on partial stomatal closure has not been considered in simulating ET and needs to be examined in future work.

ET is very sensitive to short-term weather conditions (ASCE, American Society of Civil Engineers, 1996; Allen et al., 1998) so the input of relatively coarse monthly meteorological data into TEM may

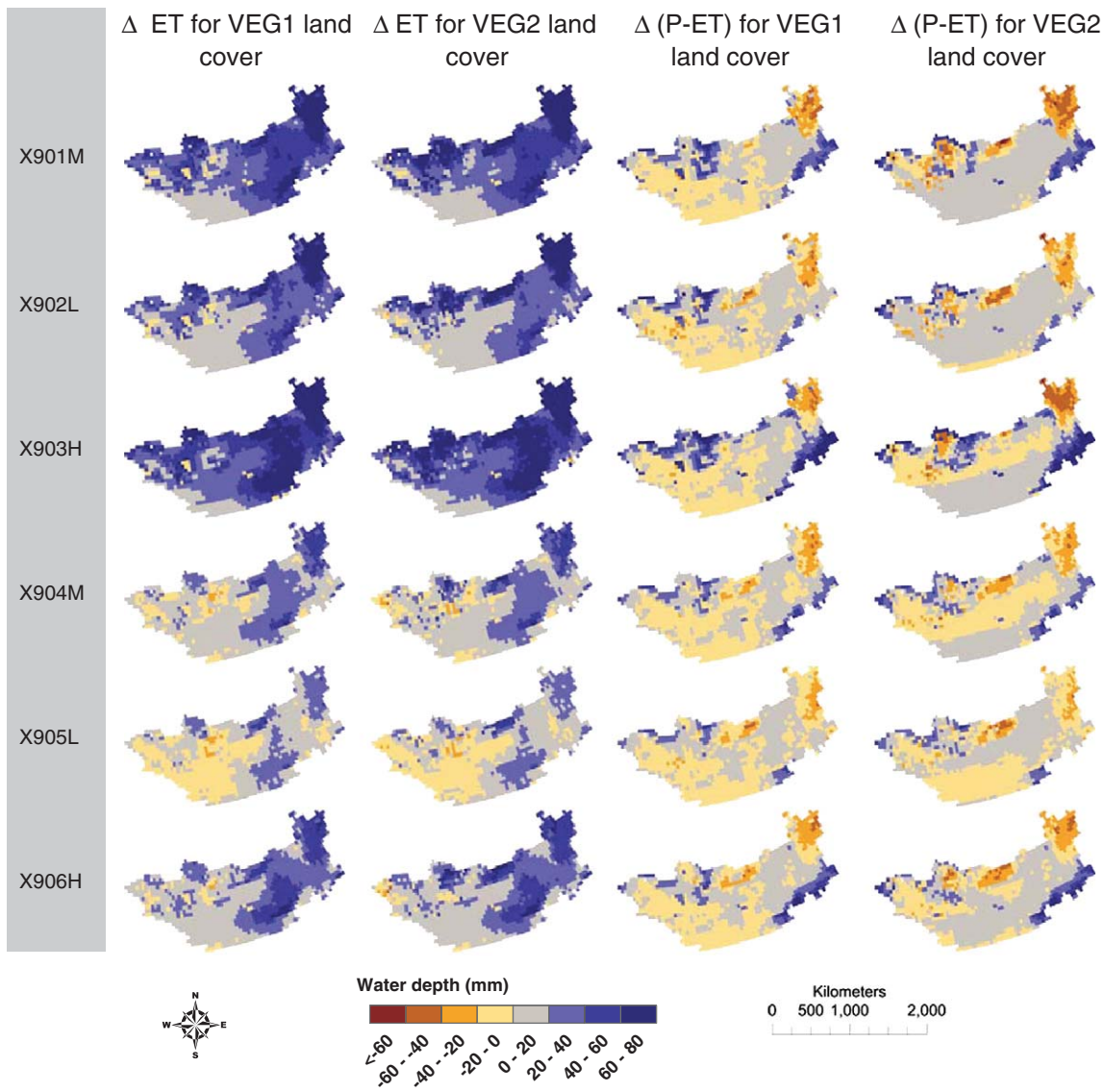


Fig. 8. Spatial patterns of changes in average annual AL2-ET and net precipitation from the 1990s to the 2090s, using VEG1 and VEG2 land covers and X901M, X902L, X903H, X904M, X905L, and X906H climate scenarios.

bias ET estimates. In addition, the omission of some processes such as snow sublimation, evaporation from wet canopy surface, and wet soil surface will also lead to underestimation of total ET. In the case of forested regions, the omission of wet canopy evaporation may lead to an underestimation of ET, as the rates of interception loss may overcome several times those of transpiration (Gash and Shuttleworth,

2007; Miralles et al., 2010). The AL2-ET during the non-growing season is underestimated (Fig. 3), because the LAI is estimated to be zero by TEM in most cases during this period, which results in transpiration being zero. Low vapor pressure leads to low RH during this period, which also results in low soil evaporation (see Eqs. (2c, 2d and 2e)). Then, a daily version of the TEM that has incorporated with the aforementioned processes and improvements on LAI and soil evaporation may produce better ET estimates.

Table 4

Range (mm yr⁻¹) and increasing trends (mm yr⁻¹) of annual grassland ET, boreal forest ET, and semidesert/desert ET of Mongolia in the 21st century in X901M, X902L, X903H, X904M, X905L, and X906H.

	Grass		Boreal forest		Semidesert/desert	
	Range	Trend	Range	Trend	Range	Trend
X901M	242–355	0.60	218–270	0.26	100–179	0.34
X902L	242–350	0.48	217–264	0.20	100–172	0.28
X903H	242–374	0.67	213–278	0.29	100–199	0.38
X904M	242–332	0.20	215–246	0.10	100–170	0.15
X905L	242–322	0.17	213–242	0.06	100–158	0.10
X906H	242–335	0.32	213–251	0.13	100–175	0.19

Note: for all the trend analyses, P < 0.001.

All input driving data for the TEM have inherent uncertainties (Zobler, 1986; Webster et al., 2002; Mitchell and Jones, 2005; Sokolov et al., 2005; Farr, 2007). Spatially-interpolated CRU climate data are based on sparse and irregular meteorological stations in this region (New et al., 1999; New et al., 2000; Mitchell and Jones, 2005;), whereas climate and terrain conditions vary in this region, the CRU data therefore have inherent uncertainties. The reanalysis ECMWF data and IGSM scenario climate data were simulated by models (Sokolov et al., 2005; Dee et al., 2011) so that the innate uncertainties in the model outputs will be inherited into the TEM ET estimation. Further, the VEG2 data are not continuously changing over time, our assumption that land cover remains the same over a period of 25 years may result in further errors. A data set with more continuous changes in land cover over

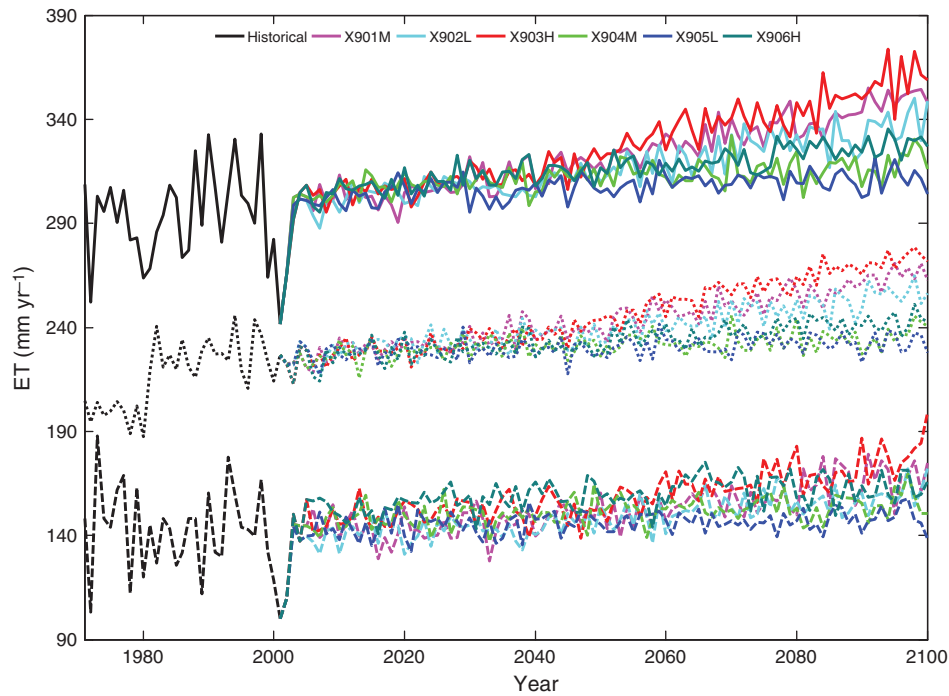


Fig. 9. Annual regional grassland ET, boreal forest ET, and semidesert/desert ET variation over the period of 1971–2100 in X901M, X902L, X903H, X904M, X905L, and X906H under VEG1 (grassland ET – solid line; boreal forest ET – dotted line; semidesert/desert ET – dashed line).

the 21st century would improve the prediction of ET for future scenarios.

EC tower measurements used for validation also have different sources of uncertainty. Flux measurements are often affected by systematic errors such as energy balance disclosure and incomplete measurement of nocturnal flux exchange (Aubinet et al., 1999; Hollinger and Richardson, 2005). Energy closure imbalance is common in eddy covariance studies and varies from a small percentage

to 30–40% as a result of limitations in instrumentation, spatial heterogeneity of EC footprints, and the partial shortage of measured turbulent and advective fluxes (Anthoni et al., 1999; Wilson et al., 2002; Tchebakova et al., 2002). Further, there is likely a spatial-scale mismatch between the source area of the available energy (i.e. $R_n - G$) and the turbulent flux source areas (Schmid, 1994, 1997; Schmid and Lloyd, 1999; Scott, 2010). For example, the source area of the available energy derived from ridge-top locations may be mismatched with

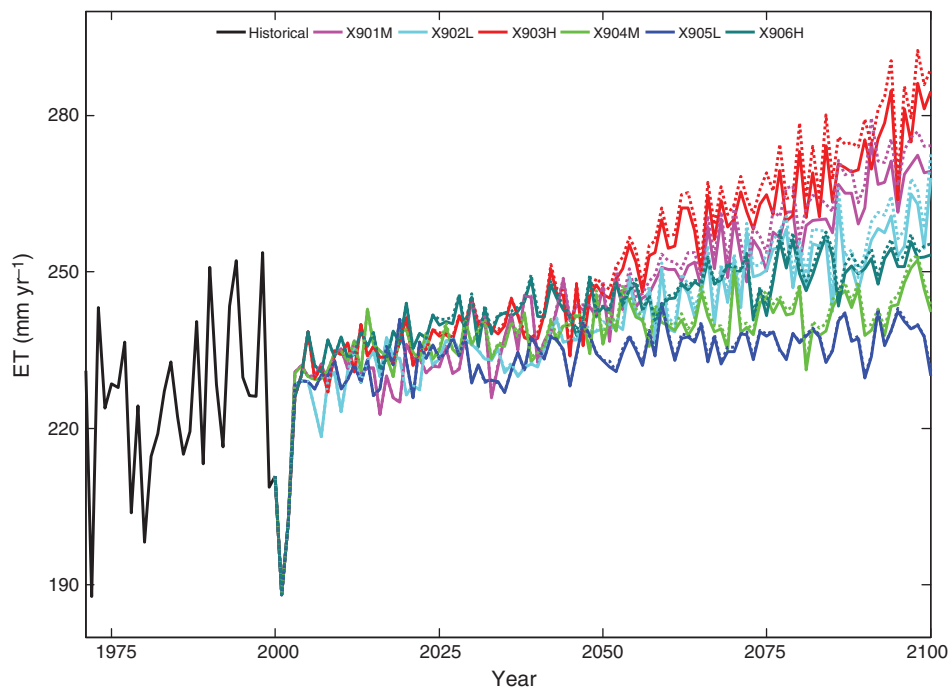


Fig. 10. Annual regional ET variations over the period 1971–2100 under VEG1 and VEG2 (VEG1 – solid line; VEG2 – dotted line) in X901M, X902L, X903H, X904M, X905L, and X906H.

the fluctuating turbulent flux source areas, which are often located on sloped surfaces with varied aspects (Schmid, 1994; Scott, 2010). Moreover, all EC systems attenuate the true turbulent signals at sufficiently high and low frequencies, especially at night (Moore, 1986; Massmana and Lee, 2002). As a result, ET estimates from the EC towers have uncertainties ranging 10–30% (Glenn et al., 2008).

Finally the satellite-based ET estimates used here for comparison (e.g. MODIS-ET, GLEAM and the PM-Mu ET by Vinukollu et al., 2011) have uncertainties that are either cascaded from the inputs used to drive the methods or inherent to the algorithms themselves. This study has revealed potential uncertainties of MODIS ET during the winter on the plateau. The input climate data of the Global Modeling and Assimilation Office (GMAO) re-analyses used to drive MODIS-ET tends to have high uncertainties in winter (Zhao et al., 2006; Decker et al., 2011). Other possible error sources lie in the input MODIS land cover data, where the accuracies are in the range of 70–80% (Strahler et al., 2002), and the inherited uncertainties from the MODIS albedo (MOD43C1). The uncertainties of albedo in the winter are especially distinct on the plateau since it is dominated by grassland (Fig. 2) and its albedo is most sensitive to snow cover when compared with other land cover (Jin et al., 2002, 2003b; Gao et al., 2005). An additional potential source of error is the input MODIS LAI, which tends to overestimate (Wang et al., 2004; Heinsch et al., 2006). The uncertainties in the MODIS land cover and albedo will propagate to the MODIS LAI in addition to their direct impacts on MODIS ET (Yang et al., 2006; Sprintsin et al., 2009). Some studies show that MODIS LAI has more apparent uncertainties in winter relative to summer (Wang et al., 2005; Sprintsin et al., 2009).

4. Conclusions

Despite the deficiencies and uncertainties of our model simulations described above, our analyses indicates that the modified TEM captures current spatial and temporal variations in ET as well as, or better than other approaches, and provides helpful insights about how ET and water availability on the Mongolian Plateau may change in the future in response to climate change and climate-induced LCC.

For the three dominant land cover types on the plateau, ET is all projected to increase. Grasslands have the highest regional annual ET, followed by the boreal forest and semi-desert/desert, whereas the increasing trends of ET are highest at grassland and lowest in boreal forest. The spatial gradients of annual ET across the plateau are expected to remain throughout the 21st century, increasing from the southwestern region to the northeastern region.

The magnitude and spatial pattern of changes in ET will depend on the climate policy being implemented and the sensitivity of climate to the energy forcing from greenhouse gas emissions. Larger changes in ET are expected from a BAU policy than a policy that attempts to stabilize greenhouse gas emissions as a result of larger changes in precipitation and air temperatures under the BAU policy. Furthermore, ET is expected to increase across most of the Mongolian Plateau under the BAU policy whereas ET will increase in some regions but decrease in other regions under a level-one stabilization emission policy. Under both policies, a larger climate response to greenhouse gas forcing is expected to result in larger increases in ET. The enhanced ET is not expected to threaten water availability across most of the Mongolian Plateau because of concurrent increases in projected precipitation. An exception, however, may be the north-central and northeastern parts of the plateau where large decreases in net precipitation are projected to occur in some climate scenarios.

Climate-induced changes in land cover are expected to have only minor effects on future regional ET with most of the regional ET changes (>95%) resulting directly from climate changes. Nevertheless, climate-induced LCC will induce visible changes in spatial distribution of net precipitation across the plateau during the 21st century, suggesting

that future ET and water availability studies over time period of decades may need to consider the effects of LCC on ET.

Acknowledgment

This research is supported by the NASA Land Use and Land Cover Change program (NASA-NNX09AI26G, NN-H-04-Z-YS-005-N, and NNX09AM55G), the Department of Energy (DE-FG02-08ER64599), the National Science Foundation (NSF-1028291 and NSF-0919331), and the NSF Carbon and Water in the Earth Program (NSF-0630319). The computing is supported by the Rosen Center of High Performance Computing at Purdue University. Special acknowledgment is made here to Prof. Eric Wood of Princeton University for his generous provision of ET dataset in the Vinukollu et al. (2011). Diego Miralles acknowledges the support by the European Space Agency WACMOS-ET project (contract no. 4000106711/12/I-NB).

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